

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Docket Number (Optional)

36287-00701

Application Number

To be Assigned

Applicant(s)

David A. Seaman

Filing Date

Herewith

A standard linear barcode is positioned vertically on the right side of the page. It contains the numbers 00020, 01/28/01, 7, and 0089.

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS (*Including Author, Title, Date, Pertinent Pages, Etc.*)

N.J.	1	Prospectus Supplement No. 150, The Goldman Sachs Group, Inc, Medium -Term Notes, Series B, October 25, 2000
N.J.	2	Prospectus, Holders Semiconductor, Merrill Lynch & Co., A.G. Edwards & Sons, Inc., May 4, 2000

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**Form PTO-A820
(also form PTO-1449)**

P09A/REV04

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SHEET 1 OF 2

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Docket Number (Optional)

36287-00702

Application Number

To be assigned

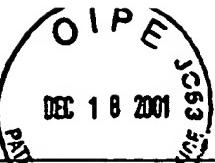
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Sheet 1 of 2

FORM PTO-449 PATENT AND TRADEMARK OFFICE U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 36287-00702	SERIAL NO. 09/770,020
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)		APPLICANT SEAMAN	
		FILING DATE January 25, 2001	GROUP ART UNIT 2164

U.S. PATENT DOCUMENTS

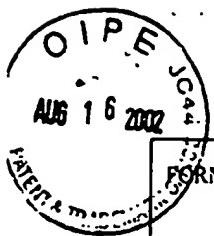
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
N	5,692,233	11/1997	Garman			
↑	5,802,499	09/1998	Sampson et al.			RECEIVED DEC 20 2001
	5,812,988	09/1998	Sandretto			Group 2100
	5,819,237	10/1998	Garman			
	5,819,238	10/1998	Fernholz			
	5,845,265	12/1998	Woolston			
	5,845,266	12/1998	Lupien et al.			
	5,852,811	12/1998	Atkins			
	5,884,286	03/1999	Daugherty, III			
	5,893,078	04/1999	Paulson			
	5,913,202	06/1999	Motoyama			
	5,926,800	07/1999	Baronowski et al.			
	5,940,810	08/1999	Traub et al.			
	5,946,667	08/1999	Tull, Jr. et al.			
	5,950,175	09/1999	Austin			
	5,950,176	09/1999	Keiser et al.			
	5,950,177	09/1999	Lupien et al.			
	5,963,923	10/1999	Garber			
	5,970,479	10/1999	Shepherd			
↓	5,978,780	11/1999	Watson			
N	5,987,435	11/1999	Weiss et al.			



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	6,012,042	01/2000	Black et al.			
↑	6,012,046	01/2000	Lupien et al.			
	6,016,483	01/2000	Rickard et al.			
	6,018,721	01/2000	Aziz et al.			
	6,018,722	01/2000	Ray et al.			
	6,021,397	02/2000	Jones et al.			
	6,021,398	02/2000	Ausubel			
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	6,058,379	05/2000	Odom et al.			
	6,061,662	05/2000	Makivic			
	6,076,072	06/2000	Libman			
	6,078,903	06/2000	Kealhofer			
	6,078,904	06/2000	Rebane			
	6,085,176	07/2000	Woolston			
	6,088,685	07/2000	Kiron et al.			
↑	6,092,056	07/2000	Tull, Jr. et al.			
N	6,098,051	08/2000	Lupien et al.			
EXAMINER	N. Leebos			DATE CONSIDERED	6/15/05	

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.
36287-00702

SERIAL NO.
09/770,020

APPLICANT
SEAMAN

FILING DATE
January 25, 2001

GROUP ART UNIT
2164

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Papers, Etc.)

N-J		BOXES Prospectus, Morgan Stanley Dean Witter & Co., Basket Opportunity eXchangable Securities (SM), Pricing Supplement, January 29, 2002, Prospectus dated January 24, 2001, http://www.sec.gov/Archives/edgar/data/895421/000090342302000046/msdw424b3_1-30.txt		

EXAMINER

N-Jubor

DATE CONSIDERED

6/15/05

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